AN UPDATE OF LEGAL ISSUES FOR STUDENTS WITH AUTISM: ELIGIBILITY AND METHODOLOGY

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This document is an annotated outline of statutory legal materials concerning education of children with autism spectrum disorder (ASD), with particular attention to the ASD-specific issues of eligibility and methodology issues. More specifically, the first section provides a sampling of secondary sources that have systematically compiled the pertinent case law outcomes. The second section contains relevant IDEA regulations and policy letters. The third section summarizes the § 504 definition of disability. The fourth and largest section provides a trends overview and blurb-type listing of recent court decisions concerning free appropriate public education (FAPE)-related issues for children with ASD. The fifth section presents a checklist for districts derived from the case law, with parent lessons being the obverse side of the same checklist. The final section provides a sampling of state laws focused on ASD interventions.

I. SECONDARY SOURCES

Overall Case Outcomes:


• 290 published hearing/review officer and court decisions from 1980 to 2000
• completely incidental role of autism in approx. 40% of the cases
• approx. 30% at the preschool level
• sharply rising frequency of cases in recent years but relatively stable outcomes, averaging approx. 4.4 on 1 (parent) to 7 (school) scale
• decisions in the Tenth and Fourth circuits have been the most favorable to school districts, and those in the Third and Eighth circuits have been most favorable to parents.


2 More recently, a study reported that there had been 354 IDELR-published hearing/review officer and court decisions from 1990 through 2002, but it did not provide enough information to explain the disparity with this total. Mitchell Yell et al., Developing Legally Correct and Educationally Appropriate Programs for Students with Autism Spectrum Disorders, 18 FOCUS ON AUTISM AND OTHER DEVELOPMENTAL DISABILITIES 182 (2003).

3 This section is limited to empirical analyses. Thus, it does not extend to more traditional narrative syntheses of the case law specific to students with autism. See, e.g., Myrna R. Mandlawitz, The Impact of the Legal System on Educational Programming for Young Children with Autism Spectrum Disorder, 32 J. AUTISM & DEV. DISORDERS 495 (2002).
primary issues: 1) FAPE: substantive, including placement, and 2) FAPE: procedural


• more favorable rulings for districts in court than at the hearing/review officer level but various confounding variables

**Eligibility:**


• relatively few cases (n=13 from 1980 to 2002), almost all at the hearing officer level
• emphasis on legal requirements and standards, not professional best practices
• importance of expert witnesses, including school professional staff
• recognition that DSM-IV is not controlling

**Methods - Outcomes:**


• 45 IDELR-published hearing officer and court decisions concerning Lovaas treatment programs from 1993 to 1998
• 76% of the decisions were reportedly in favor of the parents, but limitations in data collection and outcomes analysis


• 68 cases from 1997 through 2002, with 60% being hearing/review officer decisions
• outcomes favored districts-57% as compared to parents-43%
• key factors: goals consistent with evaluation, qualified IEP team members, and methodology tailored to goals


• limited to Lovaas/DTT court decisions (n=19) from 1997 to 2002
• only 3 Part C cases, all decided in favor of the defendant districts
• parents obtained substantial relief in only 4 of the 19 cases
• districts lost where they provided no support (rationale and evidence) for their proposed program


• relatively frequent cases (n=68) from 1980 to 2001, with 65% being hearing/review officer decisions
• two categories of cases: 63% - program selection (e.g., instructional approach) and 37% program implementation (e.g., location, duration, or frequency)
• 50-50 outcomes (4.0 on a 1-7 scale) in both categories
• key factors in both categories: testimony of witnesses, documentation of progress, and IEP elements


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Spectrum Disorder and Violations of the Individuals with Disabilities Education Act, 55 PREVENTING SCH. FAILURE 214 (2011):
• 99 court cases in 2007 and 2008
• outcomes based on 3-category scale: district prevailed – 54%, tied – 19%, parent prevailed – 27%
• parents did relatively well for claims re parental participation and unqualified personnel

• 62 court cases in 2009
• outcomes based on 3-category scale: district prevailed – 63%, tied – 8%, parent prevailed – 29%
• parents did relatively well for unqualified personnel

• 68 court cases in 2010
• outcomes based on 3-category scale: district prevailed – 60%, tied – 4%, parent prevailed – 35%
• parents did relatively well for unqualified personnel

• limited to ABA published court decisions (n=39) from 1975 to 2009
• parents won 10 (26%), with 24 (62%) for the district and 5 (13%) inconclusive
• parents did better in recent cases, but pro-district deference remained prevalent

• 85 court cases in 2013
• outcomes based on 3-category scale: district prevailed – 65%, tied – 9%, parent prevailed – 26%
• parents did relatively well for ABA services (46% prevailed)

Frequency:

Perry A. Zirkel, Autism Litigation under the IDEA: A New Meaning of “Disproportionality”? 24 J. SPECIAL EDUC. LEADERSHIP 92 (2011):
• for FAPE litigation nationally from 1993 to 2006, the published case law has increased steadily
• the proportion attributable to the autism classification has remained approximately 8-9 times the proportion of these children in the special education population
II. IDEA REGULATIONS AND POLICY LETTERS

IDEA Definition of Autism

(a) [C]hild with a disability means that a child evaluated in accordance with [the applicable IDEA requirements for eligibility] as having … autism … and who, by reason thereof, needs special education and related services.

(c)(1)(i) Autism means a developmental disability significantly affecting verbal and nonverbal communication and social interaction, generally evident before age 3, that adversely affects a child's educational performance. Other characteristics often associated with autism are engagement in repetitive activities and stereotyped movements, resistance to environmental change or change in daily routines, and unusual responses to sensory experiences. The term does not apply if a child's educational performance is adversely affected primarily because the child has an emotional disturbance, as defined in this section.

(ii) A child who manifests the characteristics of "autism" after age 3 could be diagnosed as having "autism" if the criteria in paragraph (c)(1)(i) of this section are satisfied.

IDEA Standard for Procedural FAPE

In matters alleging a procedural violation, a hearing officer may find that a child did not receive a FAPE only if the procedural inadequacies--

(i) Impeded the child’s right to a FAPE;
(ii) Significantly impeded the parent’s opportunity to participate in the decision-making process regarding the provision of a FAPE to the parent’s child; or
(iii) Caused a deprivation of educational benefit.

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6 34 C.F.R. §300.8. The IDEA legislation, as of the 1990 Amendments, specifies autism as one of the 13 recognized classifications but does not specifically define it. Rather, the definition appears in the IDEA regulations, which also define two other separate, but related classifications:

(b) Children aged 3 through 9 experiencing developmental delays. The term child with a disability for children aged 3 through 9 may, at the discretion of the State and [school district] and in accordance with [the FAPE regulation], include a child—(1) Who is experiencing developmental delays as defined by appropriate diagnostic instruments and procedures, in one or more of the following areas: physical development, cognitive development, communication development, social or emotional development, or adaptive development; and (2) Who, by reason thereof, needs special education and related services.

(c)(9) Other health impairment means having limited strength, vitality or alertness, … that results in limited alertness with respect to the educational environment, that (i) Is due to chronic or acute health problems ... and (ii) Adversely affects a child’s educational performance.

Id. The OSEP policy letters on the following pages clarify the possible connections to developmental delay and other health impairment. Some state special education laws have differing definitions for ASD eligibility. See, e.g., ALA. ADMIN. CODE r. 290-8-9-03(1); LA. ADMIN. CODE tit. 28, pt. C1, § 7-1; MINN. ADMIN. CODE § 3525.132; MICH. ADMIN. CODE r. 340.1715; NEV. ADMIN. CODE § 388.387; OR. ADMIN. R. 581-015-2130; S.C. CODE ANN. REGS. 43-243.1(B); TENN. COMP. R. & REGS. 0520-01-09-.02(4); 7 WYO. CODE R. § 4(d)(1). In general, however, the professional or medical definitions of ASD are broader than the IDEA definition of autism. See, e.g., https://www.nimh.nih.gov/health/topics/autism-spectrum-disorders-asd/index.shtml?utm_source=rss_readersutm_medium=rssutm_campaign=rss_full (National Institute of Mental Health); http://www.dsm5.org/Documents/Autism%20Spectrum%20Disorder%20Fact%20Sheet.pdf (DSM-V).


8 Compare the state’s complaint resolution process (and OCR’s corresponding process). See, e.g., Perry A. Zirkel, A Comparison of the IDEA’s Dispute Resolution Processes: Complaint Resolution and Impartial Hearings, 326 EDUC. L. REP. 1 (2016).
OSEP Policy Letters re Autism Spectrum Disorders

Letter to Coe, 32 IDELR ¶ 204 (OSEP 1999)
- children with pervasive developmental disorder (PDD) and its subcategory autism in DSM-IV are eligible under the IDEA only if they meet the definition of “child with disability” for the “autism” or other specified category, such as “other health impairment” (OHI)
- states may have criteria for eligibility of children under the disability categories so long those criteria do not conflict with the federal definition
- children with PDD aged 3 through 9 may qualify as developmentally delayed if the state and district utilize that classification and the child meets the state’s diagnostic criteria
- IDEA-97 clarifies that “[n]othing in the Act requires that a child be classified by their disability so long as each child who has a disability listed in § 300.7 and who, by reason of that disability, needs special education and related services, is regarded as a child with a disability under Part B of the [IDEA].”

Letter to Williams, 33 IDELR ¶ 249 (OSEP 2000):
- same eligibility clarification for child diagnosed with Asperger’s Syndrome, except at least partially ducks its role under OHI:
  “Regardless of whether Asperger’s Syndrome is identified as a condition that could render a child “other health impaired,” we do not believe it would be inconsistent with Part B [of the IDEA] for a State to permit school districts to evaluate children with Asperger’s Syndrome to consider whether they could be other health impaired.”
- addresses FAPE questions by clarifying that whether the child, once determined eligible, is entitled to speech pathology, occupational therapy, social skills training, or any other such service depends on whether the IEP team determines that it is required to assist the child to benefit from special education, not on whether the parent requests such service
- also addresses placement, discipline, and discrimination questions by generally reciting applicable provisions of IDEA (and Section 504)

Letter to Autin, 58 IDELR ¶ 51 (OSEP 2011):
- addresses question as to permissibility of state or local education agencies establishing separate schools for students with autism, OSEP opined that placement must be on an individual basis in accordance with the applicable procedures and criteria for LRE

Dear Colleague Letter, 66 IDELR ¶ 21 (OSEP 2015):
- issues reminder that IEP and IFSP teams, in identifying and addressing individual needs, should avoid using “ABA therapists exclusively without including, or considering input from, speech language pathologists and other professionals who provide different types of specific therapies that may be appropriate for children with ASD”

9 “OSEP” refers to the Office of Special Education Programs, which is the agency within the U.S. Department of Education that administers the IDEA. Courts accord deference to the policy letters of such agencies within prescribed limits. Perry Zirkel, Do OSEP Policy Letters Have Legal Weight? 171 EDUC. L. REP. 391 (2003).
10 The rare other published pertinent OSEP interpretations do not provide sufficiently specific and significant information to warrant republication here. See, e.g., Letter to Anonymous, 60 IDELR ¶ 47 (OSEP 2012); Letter to Anonymous, 55 IDELR ¶ 72 (OSEP 2010); Letter to Anonymous, 30 IDELR 705 (OSEP 1998); Letter to VanWart, 20 IDELR 1217 (OSEP 1993). The scope of pertinence here does not extend to issue related but not particular to autism, such as when must the IEP include methodology. See, e.g., Letter to Anonymous, 49 IDELR 258 (OSEP 2007); Letter to Wilson, 37 IDELR ¶ 96 (OSEP 2002).
11 The recently issued DSM-V collapses the separate diagnoses of autistic disorder, Asperger’s disorder, childhood integrative disorder, pervasive developmental disorder NOS into one umbrella classification of autism spectrum disorder (ASD) and requires showing of symptoms in early childhood even if not recognized until later.
III. ALTERNATE SOURCE OF COVERAGE: § 504 AND THE ADA

Sec. 504 and ADA Definition of "Individual with a Disability"12

[A]ny person who

(i) has a physical or mental impairment which substantially limits one or more of such person's major life activities,

(ii) has a record of such an impairment, or

(iii) is regarded as having such an impairment.13

Thus, the relevant, essential elements for FAPE eligibility are:

• physical or mental impairment

+ 

• major life activity

+ 

• substantial

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12 20 U.S.C. §706(8)(B); 34 C.F.R. § 104.3(j). For a two-volume comprehensive reference, see PERRY ZIRKEL, SECTION 504, THE ADA AND THE SCHOOLS (2004) (available from LRP Publications). The ADA Amendments, which are effective January 1, 2009, effectively reverse a decade of court decisions that have taken a “demanding” and, thus, narrowing interpretation of this definition, particularly the second two elements. See, e.g., Perry A. Zirkel, New Section 504 Student Eligibility Standards, 41 Teaching Exceptional Child, 68 (2009). Of additional significance for high-functioning students with Asperger disorder, a recent unpublished Third Circuit decision recognized social interaction as a major life activity. Although the student in this case did not meet the rigorous interpretation of substantial, the ADAAA would seem to suggest the possibility of the opposite outcome. Weidow v. Scranton Sch. Dist., 460 F. App’x 181(3d Cir. 2012). Moreover, the recent ADA Title II regulations added “interacting with others” to the list of examples of major life activities. 28 C.F.R. § 35.108(c)(1)(i). 

13 The second and third “prongs” (i.e., subsections “ii” and “iii”) of this definition cannot be the basis for FAPE. See Senior Staff Memorandum, 19 IDELR 894 (OCR 1992).
IV. RECENT COURT DECISIONS RE ELIGIBILITY AND METHODOLOGY

A. Brief Trends Analysis

The following overview of judicial trends to date is based on the numbered court decisions in the next section of this document. The bulleted conclusions, with the numbered examples, are within the two respective categories of eligibility and methodology. Methodology has two subcategories, pure and marginal.

Eligibility: The court decisions concerning eligibility are infrequent, and the outcomes do not markedly favor either parents or districts.

- The cases specific to eligibility are few and largely focus on the educational performance and/or state criteria rather than on the IDEA criteria for the classification—see nos. 44, 56, 83, 86, 93, 94, 113, 157, 160, and 177.

- Some of these cases used eligibility to determine FAPE—see nos. 33, 55, 66, 70, 83, 86, 109, 116, 127, 141, 151, 159, 193, and 216.

Methodology: The court decisions directly or indirectly concerning methodology are frequent, and the outcomes—which are based on the two-pronged standard for FAPE under the IDEA—markedly favor school districts.

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14 For a free download of a much more comprehensive compilation, including but not limited to various other decisions concerning students with autism, see Perry A. Zirkel's A National Update of Case Law under the IDEA and § 504/ADA, available in the “Publications” section at www.nasdse.org.

15 These two broad designations are abbreviated as follows: M = methodology; ~M = marginally methodology. The dividing lines between these two categories are far from bright. Moreover, although the boundary is similarly blurred, the coverage here does not extend to cases based primarily on procedural violations, LRE, or teacher-student ratio. See, e.g., L.G. v. Fair Lawn Sch. Dist., 488 F. App’x 967 (3d Cir. 2012) (procedural violation and LRE); Yu v. Hillsborough Elementary Sch. Dist., 59 IDELR ¶ 276 (N.D. Cal. 2012) (1:1 instruction).

16 The substantive prong, based on Board of Educ. v. Rowley, 458 U.S. 176 (1982), is whether the IEP is reasonably calculated to yield educational benefit. For the procedural prong, the 2004 amendments of the IDEA codified the following formulation:

In matters alleging a procedural violation, a hearing officer may find that a child did not receive a FAPE only if the procedural inadequacies—

(i) Impeded the child’s right to a FAPE;
(ii) Significantly impeded the parent’s opportunity to participate in the decision-making process regarding the provision of a FAPE to the parent’s child; or
(iii) Caused a deprivation of educational benefit.

• The pure methodology cases favor districts based on the deference doctrine, which is particularly pronounced for academic issues—see nos. 3, 4, 5, 6, 8, 13, 20, 24, 31, 32, 42, 48, 51, 63, 72, 75, 81, 82, 89, 107, 112, 118, 122, 143, 153, 199, 207, and 217.

• A modern Sixth Circuit case serves as a qualified reminder that this deference doctrine is not without limits—see no. 38. More recently a Second Circuit decision provide another major exception of limitation—see no. 210. A few other cases provided at least ancillary support—see no. 29, 50, and 51, 95, 100, 104, 195, 200, and 205.

• Some methodology cases depended on other factors, such as:
  o procedural violations, which only count if prejudicial and, thus, only infrequently result in parent victories—see nos. 17, 18, 38, 41, 66, 117, 134, 139, 140, 154, 168, 174, and 192.
  o LRE—see nos. 7, 10, 35, 60, 68, 84, 99, 135, 141, 165, 170, 189, 192, and 218.
  o other factors—see nos. 11 (burden of proof) and 10, 18, 26, 29, 89, 95, 106, 119, and 164 (staff qualifications).

• The newer and most direct but less than potent factor is the IEP provision for peer-reviewed research (PRR)—see nos. 67, 79, 81, 89, 98, 107, and 220.

• Several of the methodology cases were based on the high-stakes remedy of tuition reimbursement—see nos. 1, 3, 6, 8, 11, 12, 13, 21, 38, 40, 43, 62, 66, 69, 71, 72, 74, 78, 81, 82, 83, 84, 85, 87, 91, 93, 97, 101, 106, 107, 108, 109, 110, 116, 117, 118, 121, 124, 125, 127–137, 140–143, 145–146, 153–155, 159, 161–162, 166–170, 172–174, 176, 178–184, 186–188, 193, 196–197, 199, 202, 205, 206, 208, 209, 211, and 217. A more recently emerging remedy is compensatory education—see nos. 15, 29, 33, 115, 150, 163, 171, 175, 185, 191, 195, 210, and 212.

• Increasingly, school districts are including ABA in their methodology—see nos. 74, 75, 78, 79, 81, 84, 90, 97, 104, 108, 110, 118, 143, 167, 199, 212, and 216.
• The rest of the cases, designated as “[~M]” put methodology into the background, relying on regular FAPE analysis. Moreover, § 504 looms as a possible alternative avenue, especially for the remedy of money damages—see no. 62, 201, 209, 214, and 218.

• A complicating but not necessarily a significant factor is state law where its requirements exceed those of the IDEA—see nos. 73, 81, 103, 117, 127, 129, 133, 134, 145, 182, 184, 191, 193, and 209.

• The first of two increasingly prominent FAPE-denial theories—beyond the Rowley procedural and substantive dimensions—is failure-to-implement—see nos. 57, 98, 105, 148, 150, 158, 163, 171, and 191.

• The second, more recent and thus far largely New York City theory is not being capable of implementation—see nos. 140, 152, 154, 172, 180, 182, 184, 186, 188, 203, 206, and 208.

• Class action suits are also an increasing strategy, particularly for policies that allegedly absolutely preclude ABA or other such methodology—see no. 173.

• Courts are slowly but significantly recognizing the parental participation prong of the second part of the procedural FAPE test—see nos. 174 and 193.

• In Endrew F., the Supreme Court has announced a new substantive standard for FAPE, which arose in an autism case and which refined Rowley—see no. 211. The results thus far have been limited in terms of outcome change—see nos. 212, 213, 217, and 220.
B. Case Citations and Blurbs\(^{17}\)

   • ruled under Part C in favor of parents’ IFSP for ABA therapy for three year old with autism, including reimbursement - only issue was whether privately obtained services by personnel who did not meet state qualification standards were reimbursable \([M]\)

   • upheld “cottage” placement of 17-year old student with autism with limited mainstreaming opportunities in nearby high school, also rejecting parent claims regarding teacher qualifications and lack of BIP in IEP (but mixed results regarding emergency removals and music therapy) \([\sim M]\)

   • rejected district’s cross-categorical early childhood placement, w/o aide, upholding instead appropriateness of parents’ home-based Lovaas placement for autistic five-year-old (tuition reimbursement case) \([M]\)

4. \(S\) Renner v. Bd. of Educ., 185 F.3d 635 (6th Cir. 1999)
   • upheld the appropriateness of the district’s IEP for an autistic child even though it did not have the extent of Lovaas-type discrete trial training sought by the parents \([M]\)

5. \(S\) Wagner v. Short, 63 F. Supp. 2d 672 (D. Md. 1999)\(^{18}\)
   • upheld appropriateness of IFSP proposed for autistic child, despite parents’ preference for a particular ABA program \([M]\)

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\(^{17}\) Coverage starts in 1998 with the exception of any Part C (formerly Part H) cases, which are cited in italics. **Court decisions from the federal appeals courts are cited in bold typeface.** The judicial outcomes are coded to the left of each case citation as follows:

- \(P\) = parent won
- \(S\) = school district won
- \(()\) = inconclusive victory

Those concerning eligibility and methodology are respectively designated after the citation with “[E]” and “[M].” Those cases that only partially or marginally concern methodology are marked with a “[\~M].” Court decisions that are not specific to autism, much less the identified issues, are not included. For a more comprehensive listing, including other issues, earlier cases, and hearing/review officer decisions, see, e.g., PERRY A. ZIRKEL, AUTISM AND THE LAW: RULINGS AND EXPERT ANALYSIS (2001). For an alternate source specific to methodology case law, see ELENA GALLEGOS & JILL SCHALLENBERGER, AUTISM METHODOLOGIES TO LIVE BY: LEGAL GUIDANCE FOR PRACTICAL PROGRAM STRATEGIES (2011). For significant court decisions concerning children with autism but not specific to this disability category, see, e.g., Winkelman v. Parma City Sch. Dist., 550 U.S. 516 (2007) (ruling that parents have enforceable rights under the IDEA for proceeding pro se); Vives v. Fajardo, 472 F.3d 19 (1st Cir. 2007) (rejecting parent’s § 504/ADA retaliation claim for lack of requisite proof); Pachl v. Seagren, 453 F.3d 1064 (8th Cir. 2006) (upheld 70% segregated placement rather than parents’ proposed fully inclusionary placement). The acronyms in the case blurbs include: ABA = applied behavior analysis; ADA = Americans with Disabilities Act; ASD = autism spectrum disorder; AT = assistive technology; BIP = behavior intervention plan; ESY = extended school year; FAPE = free appropriate public education; FBA = functional behavioral analysis; IFSP = individual family services plan; IHO = impartial hearing officer; LRE = least restrictive environment; OT = occupational therapy; OCR = obsessive compulsive disorder; PDD = pervasive developmental disorder; PRR = peer-reviewed research; SLD = specific learning disabilities; SLT = speech/language therapy; and TBI = traumatic brain injury.

\(^{18}\) For subsequent separate litigation involving the same child under Part B, see infra the Fourth Circuit’s 2003 decision and the federal district court’s 2004 decision (case nos. 28 and 37).
   - upheld district’s IFSP for child with autism, rather than intensive Lovaas-type program parent preferred, but rejected district’s revised IFSP that reduced weekly service hours, because it “was not linked to [the child’s] unique developmental needs” (tuition reimbursement case)  

7. **S** *Blackmon v. Springfield R-XII Sch. Dist.*, 198 F.3d 648 (8th Cir. 1999)
   - upheld reverse mainstreaming classroom placement of TBI/autistic child rather than parent’s unilateral home-based early childhood program, concluding that procedural deficiencies were waived and, in any event, nonprejudicial (tuition reimbursement case)

8. **S** *Dong v. Bd. of Educ.*, 197 F.3d 793 (6th Cir. 1999)
   - upheld school-based TEACCH program, rather than parents’ home-based Lovaas-type program for autistic child (tuition reimbursement case)

   - upheld tuition reimbursement for private placement for student with autism, declining to hear additional evidence and pointing out deficiencies in the proposed IEP, including lack of BIP, OT, and ESY

    - upheld the substantive and procedural appropriateness of district’s mainstreamed IEP for elementary school student with autism, thereby rejecting reimbursement for “standard” 40-hour in-home program and parents’ claim about specialized IEP team and staffing expertise

    - ruled that district did not meet its burden to prove that its program, rather than the parents’ in-home Lovaas program, was appropriate (tuition reimbursement case)

12. **S** *Gill v. Columbia #3 Sch. Dist.*, 217 F.3d 1027 (8th Cir. 2000)
    - upheld the substantive appropriateness of the district’s proposed self-contained placement, with 1:1 aide and reverse mainstreaming, for kindergarten child with autism, rather than parents’ in-home 40-hour Lovaas program (tuition reimbursement case)

    - upheld district’s proposed preschool program for child with autism rather than parents in-home ABA program (tuition reimbursement case)

    - held that, based on IEP-team voting process and applicable standards, parents were entitled to reimbursement for costs of home-based ABA program to supplement reduced in-school program for preschool student with autism

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15. **P** Sanford Sch. Comm. v. Mr. & Mrs. L., 34 IDELR ¶ 262 (D. Me. 2001)
   • upheld hearing officer’s stay-put order and compensatory education relief when district’s change for kindergarten child with autism from half-inclusion, half-ABA program to self-contained program was based on administrative convenience, not appropriate evaluation  

16. **P/S** Gonzalez v. Puerto Rico Dep’t of Educ., 254 F.3d 350 (1st Cir. 2001)
   • upheld district’s proposed placement of 17-year-old student with autism in self-contained class rather than residential placement, but added parent training to manage the child’s behavior to the extent it linked to education progress  

   • upheld tuition reimbursement for Lovaas program where the district failed to notify the parents of their right to challenge the proposed IEP (via a due process hearing) and the child evidenced progress as a result of the Lovaas therapy  

   • upheld appropriateness of a series of IEPs for a child with autism, including TEACCH rather than Lovaas, but found that lack of district (or other child-knowledgeable) member of IEP team for one year was a prejudicial error (ordering mediation as the first-resort remedy)  

   • upheld appropriateness of inclusion-based ABA program and rejected appropriateness of home-based Lovaas program (based on restrictiveness and lack of generalization) for kindergarten child with autism  

   • upheld appropriateness of school-based TEACCH program rather than parents’ unilateral home-based Lovaas program for child with autism  

   • rejected tuition reimbursement for in-home Lovaas program where the parents made only technical, unsupported challenges to the district’s proposed TEACCH program and they admitted that they would not have accepted the offer in any event - but dismissed on appeal based on lack of jurisdiction  

   • upheld appropriateness of district’s ESY program for high functioning autistic child, with focus on improving social communication rather than 1:1 services and with goal of reasonable progress rather than mastery of skills  

   • upheld procedural and substantive appropriateness of proposed IEP for autistic preschool child, which included 6 hours of Lovaas in-home training rather than the 25 hours the parents insisted was necessary  

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20 The appellate court dismissed the case without prejudice because the hearing officer had not issued a final decision.
• upheld appropriateness of district’s eclectic TEACCH/PECS-based program, which included ABA/DTT, for high school student with autism rather than parents’ full-time Lovaas-type program – rejection of parents’ cookie-cutter, cost-related arguments  [M]

25. P Neosho R-C Sch. Dist. v. Clark, 315 F.3d 1022 (8th Cir. 2003)  
• held that the IEP’s failure to include a proper BIP amounted, in this case, to a denial of FAPE in light of the obvious need of the child with autism-Asperger’s and SLD for a BIP and unpersuasive evidence of academic progress  [-M]

26. S Zasslow v. Menlo Park City Sch. Dist., 60 F. App’x 27 (9th Cir. 2003)  
• brief ruling that despite turnover district provided qualified speech therapist for child with autism thus supporting proposition that parents do not have the right to select service deliverer  [-M]

• upheld substantive appropriateness of proposed IEP for student with autism (Asperger’s Syndrome), rather than private placement, based on Cypress-Fairbanks 4-factor test and upheld procedural appropriateness based on no loss of educational opportunity (or infringement on parental-participation opportunity)  [-M]

• held that upon the unavailability of the then-current placement (here due to the only state-approved Lovaas provider ceasing the in-home services under the IEP w/o notice) “stay put” does not require the district to provide a comparable, alternative placement; the parents’ only remedies are either to agree with the district to a new placement or seek a preliminary injunction from the trial court changing the child’s placement  [-M]

29. (P) G v. Fort Bragg Dependent Sch., 343 F.3d 295 (4th Cir. 2003)  
• remanded to determine whether the district’s proposed IEP for four-year-old with autism, which contained Lovaas elements but not a Lovaas-certified consultant, met the Rowley substantive standard and whether the district denied the child FAPE during the previous three years (rejecting parental-objection standard for triggering compensatory education)  [M]

• granted preliminary injunction to maintain the hearing officer’s decision that ordered the district to change the kindergarten child’s classification from OHI to autism (based on IEE), reimburse the parents for home therapies, and provide various additional hours of 1:1 therapy at home or school—as the stay-put pending the judicial appeal  [-E, -M]

• upheld district’s proposed placement of autistic kindergarten student in a specialized class that used the TEACCH approach rather than private school that relied on DTT – nonprejudicial procedural violations and deferential Rowley standard (tuition reimbursement case)  [M]

21 For the final decision on remand, see infra the district court’s 2004 Wagner decision (case no. 37).
   • upheld district’s proposed IEP for an autistic sixth grader in a life skills class that used ABA and
     redirection techniques rather than home placement – procedural violations (e.g., IEP team
     composition) were nonprejudicial and methodology (here, redirection > planned ignoring) is
     within district’s discretion       [M]

   • upheld requested compensatory education relief of four years of 40-hour per week ABA
     program (including training, consultation, and monitoring) for student with autism whom the
     district “repeatedly mis-diagnosed and mishandled”       [~E, ~M]

   • tuition reimbursement award, at least under IDEA Part C, may include time expended by parent
     serving as Lovaas instructor       [~M]

   • rejected, based on LRE, district’s proposed placement of preschool child with autism in “hybrid”
     (approximately 50% nondisabled children) plus 8-15 hours/week of ABA as compared with
     parents’ unilateral placement of the child in a mainstream private preschool with phasing-out
     aide plus 40 hours/week of ABA, awarding parents equitable reimbursement of ABA program
     and aide (tuition not requested)       [M]

   • after hearing officer and review officer both rejected parents claims, including that child needed
     increased home-based Lovaas component upon moving from Part C to Part B, court allowed
     appeal based on § 1983 (IDEA) and § 504/ADA, thus opening possibility of money damages
     [~M]

   • upheld appropriateness of proposed IEP, despite cut-and-pasted goals/objectives from previous
     IEP, and placement, which was change from Lovaas to non-Lovaas school, including rejection
     of procedural violations as nonprejudicial       [~M]

   • held that parents were entitled to tuition reimbursement based on two independent prejudicial
     procedural violations (fixed predetermination for TEACCH, not Lovaas, and repeated absence
     of regular ed teacher on IEP team where integration was at issue) and possible substantive
     violation of FAPE (remanding for careful determination, with limits on deference re
     methodology)       [M]

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22 For an earlier decision in this case, where the state appellate court concluded that the IFSP failed to provide meaningful progress toward more than one of its goals, see De Mora v. Dep’t of Pub. Welfare, 768 A.2d 904 (Pa. Commw. Ct. 2001). For a related decision, in which the court concluded that attorneys’ fees are not available under Part C, see Bucks Cty. Dep’t of MH/MR v. De Mora, 38 IDELR ¶ 2 (E.D. Pa. 2002).

23 For a concise account of this case, see Perry Zirkel, Deal Right?, 86 PHI DELTA KAPPAN 799 (2005). For the remanded decision, which was in the district’s favor, see Deal v. Hamilton Cty. Dep’t of Educ., 46 IDELR ¶ 45 (E.D. Tenn. 2006). In another unpublished decision, however, the court ruled that, based on the overall outcome of the case, the parents were entitled to 50% reimbursement. Deal v. Hamilton Cty. Dep’t of Educ., 258 F. App’x 863 (6th Cir. 2008).
   • upheld substantive appropriateness, including lack of ABA services, and rejected procedural
     violations as nonprejudicial, for preschool child with autism   [M]

40. (P) Cty. Sch. Bd. v. Z.P., 399 F.3d 298 (4th Cir. 2005)\(^{24}\)
   • remanded appropriateness issue to trial court to reconsider with due deference to the hearing
     officer’s findings that the parent’s ABA placement for preschool student with autism was
     appropriate and the district’s proposed TEACCH placement was not (tuition reimbursement
     case)   [M]

   • ruled that procedural inadequacies in autistic student’s IEPs, which related to mastery dates of
     benchmarks and adequacy of annual goals, but not lack of FBA-BIP, resulted in denial of FAPE
     to student   [-M]

42. S Brown v. Bartholomew, 43 IDELR ¶ 60 (S.D. Ind. 2005), vacated as moot, 442 F.3d 588 (7th
    Cir. 2006)
   • upheld district’s proposed program for kindergarten student with autism rather than parents’
     preferred at-home ABA instruction   [M]

   • rejected tuition reimbursement for 1:1 CARD program based on 1) substantive appropriateness
     of district’s program for preschool child with autism, 2) nonprejudicial procedural violation of
     not providing written notice of denial of parents’ unilateral placement, and 3) lack of FAPE in
     the LRE for said placement (e.g., lack of individualization and related services)   [-M]

44. S Chisago Lakes Sch. Dist. v. J.D., 43 IDELR ¶ 164 (Minn. Ct. App. 2005)
   • upheld district’s determination upon reevaluation that the student no longer met the all the
     required criteria in the state regulations for eligibility under the classification ASD, which is less
     strict than the classification of autism under the IDEA   [E]

   • ruled that reduced number and changed location of parent and in-home training sessions did not
     deny child with autism FAPE, thus reversing hearing officer’s award of compensatory education
     -- deferred to district on methodological considerations and construed causation issues as
     parents’ unproven burden   [-M]

46. (P/S) D.F. v. Ramapo Cent. Sch. Dist., 430 F.3d 595 (2d Cir. 2005)
   • remanded to determine whether the consideration of post-hearing evidence, which the review
     officer and district court used to rule that the district must provide at least 10 hours of in-home
     ABA therapy in addition to its self-contained special education program (with OT, PT, SLT, and
     parent counseling), was an error of law   [-M]

   • rejected tuition reimbursement for 15-year-old with Asperger Syndrome, concluding that
     district’s program was appropriate despite parents’ challenge to the choice of the teacher and
     skills trainer plus various procedural errors that were not prejudicial   [-M]

\(^{24}\) In an unpublished decision, the district prevailed on remand. Cty. Sch. Bd. v. Z.P., 45 IDELR ¶ 96 (E.D. Va. 2005).
   • upheld procedural and substantive appropriateness of IEP and district’s proposed placement for
     11-year-old with severe autism in autistic support class, which was based on ABA principles,
     rather than the parents’ successive in-home ABA and private school ABA programs [M]

49. **S** Bradley v. Arkansas Dep’t of Educ., 443 F.3d 965 (8th Cir. 2006)
   • upheld substantive appropriateness of successive IEPs for high school student with autism [~M]

   • parents requested full-day and obtained half-day preschool program based on ABA-DTT, due to
     experts’ agreement that child with severe autism needed ABA-DTT and school district did not
     have trained personnel to do so, thus entitling parents to attorneys’ fees of $47k [M]

   • upheld ABA at-home program as FAPE in the LRE for four-year-old with autism rather than
     district’s TEACCH program (tuition reimbursement case) [M]

52. **S** A.M. v. Fairbanks N. Star Borough Sch. Dist., 46 IDELR ¶ 191 (D. Alaska 2006)
   • rejected parents’ claim of lack of opportunity for meaningful participation in developing IEP for
     preschool child with autism and concluded that the IEP met the substantive standard when
     parents’ withdrew the child (prematurely) for ABA therapy [~M]

   • upheld appropriateness of proposed 50/50 placement of kindergartner with autism in regular
     school, concluding that FBA was appropriate and district’s failure to send out notices to private
     schools did not constitute pre-determination [tuition reimbursement case] [~M]

   • upheld appropriateness of program/placement of pre-kindergarten child with autism where
     district refused to grant parents’ medically-based request for homebound instruction (based on
     diagnosis of PTSD after district stopped parent from accompanying child to class) [~M]

   • lack of specific diagnosis of autism and lack of precise goals did not deny this eligible preschool
     child FAPE [~E/~M]

56. **P** Mr. I. v. Maine Sch. Admin. Dist. No. 55, 480 F.3d 1 (1st Cir. 2007)
   • ruled that student’s Asperger Disorder adversely affected educational performance as broadly
     defined by state law, establishing that student was eligible here, since “need” was not a
     contested issue [E]

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25 For the court’s subsequent ruling that rejected the district’s stay-put claims, see Cty. Sch. Bd. v. RT, 433 F. Supp. 2d 692 (E.D. Va. 2006).
57. **Van Duyn v. Baker Sch. Dist., 502 F.3d 811 (9th Cir. 2007)**
   - rejected FAPE-implementation claim for student with severe autism, concluding that the standard is whether district’s implementation fell “significantly short of the services required by the child’s IEP” (with liberal credit for the district’s “corrective actions” in compliance with hearing officer’s prospective order, which did not provide compensatory education)  
   
   - rejected claim of parents of preschooler with PDD that the district denied them a meaningful opportunity to participate in the IDEA process when it denied their request for in-home ABA therapy  
   
   - upheld district’s proposed placement of 13-year-old with autism in private day school rather than parents’ requested residential placement, rejecting parents’ claim that substantive standard for FAPE extended to generalization of behavioral effects to the home environment  
   
60. **Hjortness v. Neenah Joint Sch. Dist., 507 F.3d 1060 (7th Cir. 2007)**
   - procedural errors, including alleged predetermination in LRE, were not prejudicial and despite lack of current PELs the proposed IEP for gifted student with autism, ADHD, and OCD was substantively appropriate in these particular circumstances  
   
   - district’s choice not to include parent-proposed 1:1 ABA services did not constitute predetermination  
   
62. **Mark H. v. LeMahieu, 513 F.3d 922 (9th Cir. 2008)**, **further proceedings sub nom. Mark H. v. Hamamoto, 620 F.3d 1090 (9th Cir. 2010)**
   - held that § 504 provides a money damages remedy for failure of a district to provide FAPE to special education students (here two children with autism, for which the district spends approximately $250k per year as a result of losing the due process hearing) if they prove if they prove: 1) failure to provide “meaningful access” (i.e., reasonable accommodation/commensurate opportunity); and 2) deliberate indifference on the part of the school authorities  
   
   - remanded for reconsideration of hearing officer’s opinion that district’s IEP for child with autism was appropriate because although not meeting the aspirational standard for detailed credibility determinations and legal analysis, it merited deference (tuition reimbursement case)  
   
   - upheld appropriateness of district’s IEP for kindergarten child with autism, including reduction of OT and ABA, and the district’s proposed ESY placement

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  • ruled that district did not deny FAPE to student with autism who made progress under three
    successive IEPs even though it did not generalize to other settings  (tuition reimbursement case)
    [~M]

66. P/S Sytsema v. Acad. Sch. Dist. No. 20, 538 F.3d 1306 (10th Cir. 2008)
  • upheld appropriateness of district’s eclectic program for preschool student with autism even
    though it lacked an in-home component and concluded that failure to provide finalized IEP was
    nonprejudicial procedural violation  [~M]

67. P/S N.B. v. Hellgate Elementary Sch. Dist., 541 F.3d 1202 (9th Cir. 2008)
  • upheld tuition reimbursement for IEP where district did not evaluate the child with speech
    impairment in all the areas of suspected disability, i.e., autism (treating it as prejudicial
    procedural violation), but rejected parents’ claim that the child was eligible for ESY, thus
   ducking question of FAPE substantive standard for ESY       [~E]

  • upheld hearing officer’s PRR-based decision against district’s behavioral methodology but
    folded into the Rowley substantive standard for FAPE     [M]

  • upheld district’s proposed self-contained placement for 3-year-old child with autism as FAPE in
    the LRE and rejected appropriateness of parents’ unilateral placement in mainstream private
    school plus ABA as not appropriate, thereby denying tuition reimbursement     [~M]

70. S Winkelman v. Parma City Sch. Dist., 294 F. App’x 997 (6th Cir. 2008)
  • rejected parents’ claim of denial of FAPE based on delayed OT goals, lack of music therapy,
    and lack of 1:1 aide (tuition reimbursement case)       [~M]

71. S JG v. Douglas Cty. Sch. Dist., 552 F.3d 786 (9th Cir. 2008)
  • ruled that district’s completion of an evaluation of preschool twins with autism within 38 days
    was reasonable, which was the 1999 IDEA regulatory standard applicable in this case and which
    controls rather than the state’s 45-day deadline, because the district did not have reason to
    suspect autism upon the parents’ request      [~E]

  • upheld hearing and review officer’s reduction of after-school ABA services from 25 to 10 hours
    per week (with 5 rather than 12 monthly hours of supervisory support) for gifted kindergarten
    child with autism based on appropriateness (tuition reimbursement case)      [M]

73. S A.C. v. Bd. of Educ., 553 F.3d 165 (2d Cir. 2009) 27
  • held that IEP for child with autism developed, in violation of state regulation requiring FBA,
    was neither procedurally nor substantively deficient—IDEA’s IEP “special consideration”
    provision, in effect, trumped state reg (tuition reimbursement case)  [~M]

27 For an earlier unpublished decision that went in the opposite direction, see Danielle G. v. N.Y.C. Dep’t of Educ., 50
  IDELR ¶ 247 (E.D.N.Y. 2007).
74. S  **T.P. v. Mamaroneck Union Free Sch. Dist., 554 F.3d 247 (2d Cir. 2009)**
   • held that consultant chart’s “School Response” that showed district did not intend to offer more than 10 hours of school-based ABA did not constitute pre-determination of IEP for kindergarten child with autism (tuition reimbursement case)    [~M]

   • upheld procedural and substantive appropriateness of IEP for eight-year-old with autism, which included TEACCH method and which did not necessitate an autism consultant on the IEP team, also concluding that the district had provided the parents—in response to their due process hearing complaint—with all that they had requested, including the consultant and 1:1 ABA aide, thus leaving no basis for compensatory education    [M]

76. S  **B.S. v. Placentia-Yorba Linda Unified Sch. Dist., 306 F. App’x 397 (9th Cir. 2009)**
   • upheld substantive appropriateness and LRE of successive two IEPs (with second providing for sp. ed. For language arts block) for child with autism (tuition reimbursement case)    [~M]

   • held that district’s program for child with autism did not meet the heightened standard under “meaningful benefit” standard under *Hellgate*(supra), showing difficulty of measuring progress and resulting in award of tuition reimbursement for part of 2007 ($62k) as compensatory education for violation in 2005-06    [~M]

   • upheld procedural (e.g., parental participation) and substantive appropriateness (e.g., ABA staff training) of IEP that district offered for nine-year-old with autism    [~M]

   • upheld appropriateness of district’s subsequently revised IEP for preschool child with autism at public ABA program    [~M]

80. S  **L.M. v. Capistrano Unified Sch. Dist., 566 F.3d 900 (9th Cir. 2009)**
   • held that preschool program for a child with autism was substantively appropriate and that the 20-minute limit on outside evaluators’ classroom observations was procedural flaw that did not deprive the parents of meaningful opportunity for participation (tuition reimbursement case)    [~M]

81. S  **Joshua A. v. Rocklin Unified Sch. Dist., 319 F. App’x 692 (9th Cir. 2009)**
   • upheld appropriateness of IEP for student with autism concluding that its eclectic program met substantive standard and that failure to provide services based on PRR automatically means a denial of FAPE    [M]

82. S  **A.G. v. Frieden, 52 IDELR ¶ 65 (S.D.N.Y. 2009)**
   • held that IFSP that proposed 20 hours of ABA therapy per week was appropriate, rejecting parents’ request for at least 30 hours of this service and their pre-determination claim    [M]

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28 In a subsequent decision, the district awarded the defendant-district $141k in attorneys’ fees and court costs, jointly payable by the parents and their attorney, but the Ninth Circuit reversed this award. Parenteau v. Prescott Unified Sch. Dist., 53 IDELR ¶ 333 (D. Ariz. 2009), *rev’d sub nom* R.P. v. Prescott Unified Sch. Dist., 631 F.3d 1117 (9th Cir. 2011).
   • upheld appropriateness of IEP for five-year-old child with pervasive developmental disorder,
     rejecting claims that 1) classification under OHI rather than autism was substantive flaw, 2) IEP
     should have included 10 more hours per week of 1:1 behavior therapy, and 3) district should
     have done an FBA, as required by state law (tuition reimbursement case) [−E, −M]

   • rejected parents’ pre-determination claim and ruled that the district’s proposed IEP, which
     included 10 hours of at-home behavior therapy and 5 half days of regular education was FAPE
     in the LRE (tuition reimbursement case) [−M]

85. (S) Richardson Indep. Sch. Dist. v. Michael Z., 580 F.3d 286 (5th Cir. 2009)
   • ruled that district’s IEP was not substantively appropriate due to child’s pattern of regression
     and IEP’s insufficient services but remanded to apply this test for private residential
     placement: 1) whether it is essential in order for the disabled child to receive a meaningful
     educational benefit, and, if so, 2) whether it is primarily oriented toward enabling the child
     to obtain an education (tuition reimbursement case) [−M]

   • upheld, as not a denial of FAPE, district’s determination that district properly classified child,
     who had previous diagnoses of ADHD, “absence seizures” and—most recently—Asperger
     Disorder, as ED rather than parent’s proposed classifications of autism or OHI [E]

87. S T.Y. v. N.Y.C. Dep’t of Educ., 584 F.3d 412 (2d Cir. 2009)
   • upheld substantive appropriateness of IEP, despite deficiencies regarding parent counseling and
     speech/language services and with 1:1 aide rather than FBA-BIP, and rejected procedural claim
     that the IEP did not specify a school site for the educational placement29 (tuition reimbursement
     case) [−M]

   • rejected parent’s claims that IEP was deficient for lack of parental participation, class size of
     12:1 rather than 6:1, and failure to include BIP [−M]

   • rejected parent’s various procedural challenges, including lack of autism-specific testing and
     personnel, and substantive challenges, including applicable standard (in the Tenth Circuit) and
     scientifically-based methodology [M]

   • rejected parent’s claim that children with autism needed 30-40 hours of ABA services each
     week, ruling that district’s offer of 30 hours subject to further evaluation information, was
     appropriate [M]

29 For another case concerning a student with ASD in which a federal appeals court ruled the opposite on this issue,
91. **J.L. v. Mercer Island Sch. Dist.,** 592 F.3d 938 (9th Cir. 2009)
   • upheld appropriateness of IEP for child with autism, rejecting lower court’s ruling that IDEA ’97 raised the Rowley substantive standard and concluding that various asserted procedural violations, such as failure to include methodology in the IEP, were a denial of FAPE (tuition reimbursement case) [M]

92. **K.S. v. Fremont Unified Sch. Dist.,** 679 F. Supp. 2d 1046 (N.D. Cal. 2009), **aff’d, 426 F. App’x 536 (9th Cir. 2011)**
   • upheld appropriateness of successive, similar IEPs with which the child made slow progress—expected rate based on the severity of the disability, and parent did not sustain burden to show that the child needed 30 hours of ABA per week to receive FAPE [M]

   • ruled that child with Asperger Disorder who was performing at average to above average levels in the classroom and was progressing academically did not meet the criterion on adversely affecting educational performance—no qualifier on adversely affecting but educational performance in Second Circuit means academic performance [E]

   • ruled that child with various diagnoses, including Asperger Disorder, ADHD, and dysgraphia, was not eligible as OHI or ED based on narrow, academic view of adverse affect on “educational performance” (tuition reimbursement case) [E]

   • ruled that three consecutive IEPs failed to provide FAPE to child with autism based on prejudicial procedural violations, including lack of accurate and timely evaluation—upholding tuition reimbursement for ABA home program despite lack of special education certification but reversing hearing officer’s order to replace IEP team with private company that implements the program [M]

96. **Smith v. James C. Hormel Sch. of Virginia Inst. of Autism,** 54 IDELR ¶ 75 (W.D. Va. 2010)
   • ruled that district’s offer of homebound placement, while finding and arranging for residential placement, was not denial of FAPE to child with autism who private school, which offered ABA programming, expelled for life-threatening behavior [~M]

   • held that procedural violations (e.g., lack of FBA) did not deny FAPE and that the IEP for five-year-old at public charter school for children with autism (per ABA model) met the substantive standard w/o the parents’ additionally sought itinerant services (tuition reimbursement case) [~M]

   • ruled that 1) failure to have IEP in place at start of school year for child with autism could be attributed to parents (deference to hearing officer’s finding); 2) parent’s approval of previous IEPs did not waive FAPE implementation claim; 3) parent did not meet their burden of providing district did not implement expired IEP; and 4) the new IEP met the substantive standard for FAPE (including PRR) (tuition reimbursement case) [~M]
   • upheld $80,000 tuition reimbursement for kindergarten child with autism based on finding that child needed extensive 1:1 discrete-trial ABA services, which district’s proposed 6:1 placement did not provide and which conformed to LRE consideration for the parent’s unilateral private placement  [M]

100. **S** Lathrop R-II Sch. Dist. v. Gray, 611 F.3d 419 (8th Cir. 2010)
   • ruled that lack of baseline data, behavioral goal, and full parental notice did not amount to denial of FAPE where district made good faith effort and reasonably met individual needs of student with autism  [M]

101. **S** M.S. v. N.Y.C. Dep’t of Educ., 734 F. Supp. 2d 271 (E.D.N.Y. 2010), aff’d sub nom M.H. v. N.Y.C. Dep’t of Educ., 685 F.3d 217 (2d Cir. 2012)
   • upheld substantive appropriateness of IEP for child with autism, including transition provision to return the child from private school and use of shorthand descriptors in BIP  (tuition reimbursement case)  [M]

   • ruled that child with autism was no longer entitled to after-school 1:1 ABA program (and parent training) where the private placement’s program met the substantive standard for FAPE based on the child’s progress  [M]

   • omission of parent training and counseling in IEP for child with autism, contrary to state law requirement, did not constitute denial of FAPE where the district provided such services as needed—same for lack of transition plan under IDEA where court found that the school would have offered services to meet the child’s transition needs  [M]

104. **P** W. Windsor-Plainsboro Reg’l Sch. Dist. v. M.F., 56 IDELR ¶ 106 (D.N.J. 2011)
   • ruled that parent was entitled to reimbursement for the home ABA program where the district’s proposed eclectic program for child with autism was not reasonably calculated for meaningful benefit  [M]

105. **P** Sumter Cty. Sch. Dist. 17 v. Heffernan, 642 F.3d 478 (4th Cir. 2011)
   • held that the child’s gains and district’s rectifying measures were insufficient to avoid the denial of FAPE from the district’s failure to implement a material portion of the IEP of a child with autism, which was 15 hours/week of ABA therapy, and that the parent’s unilateral home placement was appropriate (with LRE not applying)  [M]

   • ruling that IEP for student with autism did not have to specify the qualifications of the service provider or the methodology and that the subsequent changes, including adding a transition plan and autism consultant teacher services, did not render the original version defective because they promptly resulted from information that the parent disclosed only belatedly  (tuition reimbursement case)  [M]
   • upheld appropriateness of SCERTS methodology for preschool child with autism rather than his  
     previous ABA/DTT methodology—relaxed view of PRR (tuition reimbursement case)  [M]  

108. P  R.K. v. N.Y.C. Dep’t of Educ., 56 IDELR ¶ 168 (E.D.N.Y. 2011), further proceedings, 56 IDELR  
   ¶ 212 (E.D.N.Y. 2011), aff’d sub nom R.E. v. N.Y.C. Dep’t of Educ., 694 F.3d 167 (2d Cir. 2012)  
   • ruled in favor of tuition reimbursement for student with autism, where district’s program was  
     deficient in several substantive respects, including lack of FBA-BIP and more intensive ABA  
     services (tuition reimbursement case)  [~M]  

109. S  Fort Osage R-1 Sch. Dist. v. Sims, 641 F.3d 996 (8th Cir. 2011)  
   • ruled that district’s failure to diagnose the child’s autism did not amount to a denial of FAPE  
     where the district’s IEP met the substantive standard for FAPE, including addressing his unique  
     needs, and the parents failed to prove their pre-determination claim (tuition reimbursement  
     case)  [E/~M]  

   • upheld ruling that district’s private school program for child with autism did not provide for a  
     meaningful benefit, because he additionally required an after-school ABA program (tuition  
     reimbursement case)  [~M]  

111. P  N.Y.C. Dep’t of Educ. v. V.S., 57 IDELR ¶ 77 (E.D.N.Y. 2011)  
   • based in part on evidence that TEACCH method would not be effective for this child with  
     autism, upheld tuition reimbursement at private school that provided relationship-based  
     methodology  [M]  

   • summarily affirmed unpublished trial court decision that rejected parents’ insistence on  
     continuation of 1:1 Lindamood Bell services, finding that the new IEP met the substantive  
     standard and that the district had not denied the parents the opportunity for meaningful  
     participation  [M]  

   • upheld district’s evaluation that student did not qualify under autism (though did qualify under  
     SLI and OHI)  [E]  

   • reduction of behavioral support services for six-year-old with autistic-like behaviors was not  
     denial of FAPE where classroom observations revealed reduced need  [~M]
   • after a 32-day IHO proceedings with more than 7,000 pages of testimony concerning the IEPs in grades 1-3 for a child with autism and cerebral palsy, upheld the rulings that 1) the second-grade IEP amounted to a substantive denial of FAPE due to substantial lack of implementation plus lack of meaningful benefit in relation to child’s potential; 2) the third-grade IEP represented procedural denial of meaningful parental participation due to a) failure to provide access to test protocols to parents’ expert and b) development of goals/objectives outside of parents’ presence plus substantive denial of FAPE due to reduction of services resulting in lack of meaningful benefit
   • upheld 758-hour compensatory education award for two-year denial of FAPE (12 hours for each of 64 weeks of denial) for the child to “reasonably recover” in light of potentially closing window of opportunity, plus upheld requirement that the delivery be via a teacher with autism certification due to this provision in the IEP
   • mixed outcome for IHO’s conditioning of prospective relief on parents’ re-enrollment of the child (whom the parents had removed for private schooling): no for the ordered evaluations and amended IEP but yes for the implementation of the IEP (which was half mainstreamed and half 1:1 autism services in regular school setting)
   • upheld limiting award to pre-settlement hours amounting to $25k in attorneys’ fees because although the parents were substantially justified in rejecting the settlement due to its failure to include attorneys’ fees, the limitation was reasonable in light of the parents’ limited success of the overly long and contentious administrative proceeding

   • ruled that district denied FAPE to six-year-old who had IEP for speech/language impairment by not providing evaluation for autism upon reasonable suspicion, with the court clarifying that “the inquiry is not whether the student actually qualifies for special education services, but whether the student should be referred for an evaluation” (tuition reimbursement case)

   • adopting the snapshot approach but not strict four-corners rule and differentiating between serious (FBA) and minor (parent counseling) procedural violations based on state standards for FAPE analysis, reached mixed outcomes in three consolidated cases concerning students with autism (two for district supra and one in favor of the parent, including tuition reimbursement)

118. *S* F.L. v. N.Y.C. Dep’t of Educ., 60 IDELR ¶ 17 (S.D.N.Y. 2012)
   • upheld proposed placement for child with autism that used TEACCH rather than sole ABA method (tuition reimbursement case)

   • ruled that the hearing officer’s order for payment and reimbursement of “psychological therapy services,” which was ABA for a child with autism, was not enforceable as applied to a provider who did not meet the state standards, i.e., a licensed psychologist

120. *(P)* Young v. Ohio, 60 IDELR ¶ 134 (S.D. Ohio 2013)
   • granted preliminary injunction under Part C, concluding that parents of two-year-old with autism were likely to succeed on their claim that the state’s decision not to provide ABA therapy or approve ABA providers constituted predetermination
121. S M.N. v. State of Haw. Dep’t of Educ., 509 F. App’x 640 (9th Cir. 2013)  
- upheld denial of tuition reimbursement for child with autism who received a “meager” educational benefit after a year in a private ABA-based program [M]

122. S B.M. v. Encinitas Union Sch. Dist., 60 IDELR ¶ 188 (S.D. Cal. 2013)  
- upheld hearing officer’s decision in favor of district’s segregated school-based placement, rather than parents’ home-based ABA placement, for preschool child with autism who was highly distractible but with strong nonverbal skills and his need to develop language and interpersonal skills—“the testimony of district personnel, who had daily or regularly scheduled time with [the student], was more persuasive than that of [the parent's] witnesses, whose opinions were largely based on file reviews” [M]

- ruled that predetermination that child’s classification was primarily SLD and secondarily OHI and SLI rather than autism was harmless error where the IEP met the substantive standard for FAPE in relation to the child’s individual needs [E]

- ruled that 1) district’s proposed placement was not substantively appropriate where the evidence that it would provide a seafood-free environment to 10-year-old with autism and seafood allergy were R.E.-excluded statements of school officials after the parent’s unilateral placement decision; 2) the private placement was appropriate despite teacher’s lack of certification in the school’s methodology; and 3) the equities supported (tuition reimbursement case) [~M]

- ruled that district’s proposed program for 3-year-old with autism was appropriate (tuition reimbursement case) [M]

126. (P) Y.S. v. N.Y.C. Dep’t of Educ., 62 IDELR ¶ (S.D.N.Y. 2013)  
- based on teacher’s testimony opening the door to the methodology issue, remanded to the IHO to determine whether TEACCH meets the individual needs of 5-year-old child with PDD [M]

127. S M.W. v. N.Y.C. Dep’t of Educ., 725 F.3d 131 (2d Cir. 2013)  
- upheld procedural and substantive appropriateness of district’s proposed IEP for nine-year-old with autism, ADHD, and Tourette syndrome, including lack of FBA and parental counseling in violation of state law (tuition reimbursement case) [~M]

- ruled that IEP was substantively appropriate based on ED where additional classification of autism was not clear or necessary (tuition reimbursement case) [~E]

- rejected claims of procedural inappropriateness (e.g., lack of FBA per state law and failure to discuss nonpublic placements) and substantive inappropriateness (e.g., teacher-student ratio) of proposed IEP for student with autism (tuition reimbursement case) [~M]
\begin{itemize}
\item ruled that proposed IEP for child with autism and other disabilities was not reasonably calculated for benefit—insufficient attention to physician’s testimony that autism was the child’s primary area of need (tuition reimbursement case) \[~M\]
\end{itemize}

131. \textit{P} C.L. v. N.Y.C. Dep’t of Educ., 552 F. App’x 81 (2d Cir. 2014)
\begin{itemize}
\item short opinion deferring to IHO’s—more well reasoned than the review officer’s—conclusion that district did not meet its burden to prove that the proposed 6:1:1 program would enable the child to learn new material (tuition reimbursement case—appropriateness of private placement not at issue) \[~M\]
\end{itemize}

132. \textit{P} C.F. v. N.Y.C. Dep’t of Educ., 746 F.3d 68 (2d Cir. 2014)
\begin{itemize}
\item ruled that the procedural violations in the proposed IEP, based on state law, of failing to provide for parent training and counseling and in producing an inappropriately vague BIP in the absence of an FBA combined with its substantive inadequacy of providing for a 6:1 student/teacher ratio, where child with autism clearly needed a 1:1 ratio, amounted to a denial of FAPE (tuition reimbursement case) \[~M\]
\end{itemize}

133. \textit{P/S} T.M. v. Cornwall Cent. Sch. Dist., 752 F.3d 145 (2d Cir. 2014)
\begin{itemize}
\item ruled the IDEA’s LRE requirement applies to ESY placements just as it does to school-year placements but that the lack of an FBA-BIP and parent counseling training (both per state law) for child with autism were procedural violations that did not result in a substantive loss of education (tuition reimbursement case) \[~M\]
\end{itemize}

\begin{itemize}
\item ruled that the proposed IEP for eight-year old with autism substantively appropriate and rejected the various procedural challenges as either unproven (e.g., predetermination and FBA/BIP) or nonprejudicial (lack of parent counseling/training (tuition reimbursement case) \[~M\]
\end{itemize}

\begin{itemize}
\item ruled that district’s “bait and switch” re proposed site for IEP for student with autism was a denial of FAPE in terms of parental opportunity for meaningful participation (tuition reimbursement case) \[~M\]
\end{itemize}

136. \textit{S} R.B. v. N.Y.C. Dep’t of Educ., 15 F. Supp. 3d 421 (E.D.N.Y. 2014)\footnote{This case concerns the IEP for the year after the one ultimately addressed in the Second Circuit appeal infra.}
\begin{itemize}
\item ruled that procedural violations (e.g., lack of vocational assessment, parent training/counseling, and measurable goals) were not a denial of FAPE in individual circumstances of this case and the 6:1:1 placement for this child with autism was substantively appropriate (tuition reimbursement case) \[~M\]
\end{itemize}

\begin{itemize}
\item ruled that district’s “bait and switch” re proposed site for IEP for student with autism was a denial of FAPE in terms of parental opportunity for meaningful participation (tuition reimbursement case) \[~M\]
\end{itemize}
138. **S** C.B. v. Garden Grove Unified Sch. Dist., 575 F. App’x 796 (9th Cir. 2014)
   • rejected procedural challenges to IEP (e.g., absence of certain goals and of accommodations section) and upheld substantive appropriateness of interim small-group placement of child with autism who previously received 1:1 services   [~M]

139. **S** K.S. v. Strongsville City Sch. Dist., 63 IDELR ¶ 125 (N.D. Ohio 2014)
   • ruled that IEP for student with autism that provided for occasional sensory breaks in a glass enclosure within the general education classroom constituted FAPE in the LRE   [~M]

   • ruled that district’s failure to provide parents of 15-year-od with autism with meaningful opportunity for participation by not providing parents with 1) copy of IEP in timely manner and 2) relevant information (e.g., resources adequate to implement the IEP) about the school placement (i.e., process, not necessarily site, of school selection), although rejecting other procedural challenges and substantive (tuition reimbursement case)   [~M]

   • ruled that district did not evaluate preschool special education child in all areas of suspected disability when she showed clear signs of autism and that the resulting IEPs, which placed her in an inclusion class, did not meet her needs, whereas an ABA program did (tuition reimbursement case)31   [E/M]

142. **P** Blount Cty. Bd. of Educ. v. Bowens, 762 F.3d 1242 (11th Cir. 2014)
   • upheld ruling, in case of child with autism upon transitioning from Part C (early intervention), that district offered “inadequate option[s] and [attempted to] wash its hands of its obligations” by acquiescing to the private placement (tuition reimbursement case)   [~M]

143. **S** A.S. v. N.Y.C. Dep’t of Educ., 573 F. App’x 63 (2d Cir. 2014)
   • upheld procedural and substantive appropriateness of proposed IEP, including the TEACCH methodology, for child with autism despite parents’ preference for ABA-based program (tuition reimbursement case)   [M]

144. **S** R.K. v. Clifton Bd. of Educ., 587 F. App’x 17 (3d Cir. 2014)
   • ruled that even if the district’s refusal to provide parents with copy of consultant’s report evaluating the system’s ABA program and to allow their expert to observe the child’s class were procedural violations, neither refusal deprived them of their opportunity for meaningful participation in the IEP and IHO process   [~M]

   • ruled that lack of transition assessment, FBA, and parent counseling/training per state law did not rise to the level of denial of FAPE for child with autism, but the proposed 6:1:1 placement was not reasonably calculated to provide benefit due to the child’s proven needs for 1:1 instruction (tuition reimbursement case)   [~M]

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31 Although not at issue on the appeal, the IHO also awarded compensatory education for the period prior to the unilateral placement.
146. S  R.B. v. N.Y.C. Dep’t of Educ., 589 F. App’x 572 (2d Cir. 2014)
   • rejected procedural challenge (less than full reevaluation after one year, mixed procedural-
     substantive challenge (omission of parents’ choice of methodology) challenges to the proposed
     IEP and upheld substantive appropriateness of 6:1:1 placement to return middle school child
     with autism from specialized private school (tuition reimbursement case) [~M]

   • ruled that district’s embedded implementation, including supervised SLT interns, rather than the
     one-on-one approach that was the preference of the resigned SL therapist and that was the
     parents’ interpretation, fulfilled IEP provision for four hours per week of SLT in the “total
     school environment” of eight-year-old with autism [~M]

148. S  F.K. v. Dep’t of Educ., State of Haw., 585 F. App’x 710 (9th Cir. 2014)
   • ruled that the district’s placement for middle-school student with autism met the substantive and
     implementation standards for appropriateness [~M]

149. S  M.A. v. Jersey City Bd. of Educ., 592 F. App’x 124 (3d Cir. 2014)
   • upheld changed placement of child with autism from private ABA school to less intensive ABA
     program within the district based on the child’s progress, ruling that the failure of the notice to
     specify the school did not deny the parents’ meaningful opportunity for participation in this case
     [~M]

   • reversed the IHO’s ruling that the district had engaged in predetermination for IEP of child with
     autism and seizure disorder, concluding instead that—distinguishable from Doug C.—the
     continuation of the IEP meeting without the parent did not violate the opportunity for
     meaningful participation in the specific circumstances of this case, but upheld the IHOs ruling
     that the district failed to implement the IEP at a material level for three-month period
     (compensatory education case) [~M]

   • ruled that IEP for student with epilepsy, pervasive development disorder, and oppositional
     defiant disorder who may have had ASD was appropriate despite lack of “autistic support”
     because the IEP provided for the services addressing the individual needs of the student
     regardless of the label [~E/~M]

   • ruled that parents of child with autism sufficiently had raised methodology issue in their
     complaint but, even assuming arguendo that the ABA methodology was inconsistent with the
     success of the child’s IEP, they failed to prove that the proposed public school was incapable of
     implementing the IEP (tuition reimbursement case) [M]

   • ruled that district’s proposed IEP that provided a 12-month month placement with 6:1+1
     student:staff ratio in a district special school with TEACCH methodology met standards of
     appropriateness — “The district was not required to consider any particular teaching methodology
     in the development of [the child’s] IEP, and [the] IEP does not specify one [citing F.L.]”
     (tuition reimbursement case) [M]
• ruled that exclusion of parents from the IEP process and, separately, inability of the proposed
district placement to meet the child’s sensory needs constituted a denial of FAPE  (tuition
reimbursement case)  [~M]

155. (P) E.H. v. N.Y.C. Dep’t of Educ., 611 F. App’x 728 (2d Cir. 2015)
• rejected parent’s procedural and substantive challenges to the BIP for their child with autism and
their claim regarding the proposed classroom capacity, but remanded for determination of
whether the IEP’s adoption of the private school’s goals without its DIR/Floortime method
resulted in a substantive denial of FAPE  (tuition reimbursement case)  [M]

• rejected various other claims of parents’ of kindergartner with autism, including alleged
inadequacy of FBA/BIP but upheld denial of FAPE and corresponding compensatory education
for one-year delay in conducting an AT assessment upon learning of his success at home with
iPod for communication  [M]

157. S D.A. v. Meridian Joint Sch. Dist. No. 2, 618 F. App’x 891 (9th Cir. 2015)
• upheld district’s determination of non-eligibility for high-functioning h.s. student with ASD who
excelled in his academic classes but not, due to his social and pragmatic difficulties, in his other
classes, where he did as well as his nondisabled peers  [E]

• upheld IHO’s decision for limited reimbursement to parent of preschool child with autism for
Lovaas services, ruling that the parents’ unreasonable conduct factored into the district’s
incomplete implementation of the child’s IFSP and IEP  [~M]

• ruled that identification of student, who undisputedly was also OHI (based on ADHD) and SLD
(in written expression, as ED rather than primarily qualifying with autism, and the failure to
address autism in his IEP was a substantive denial of FAPE  (tuition reimbursement case)
[E/~M]

160. S Q.W. v. Bd. of Educ. of Fayette Cty., 630 F. App’x 580 (6th Cir. 2015), cert. denied, 136 S.
Ct. 1729 (2016)
• ruled that student who no longer exhibited notable academic, behavioral, or social difficulties in
school was no longer eligible as student with autism despite his at-home problems  [E]

161. S D.A.B. v. N.Y.C. Dep’t of Educ., 630 F. App’x 73 (2d Cir. 2015)
• rejected claims of procedural inappropriateness (e.g., goals that were insufficiently measurable)
and substantive inappropriateness (e.g., teacher-student ratio) of proposed IEP for student with
autism (tuition reimbursement case)  [~M]

162. S Z.R. v. Oak Park Unified Sch. Dist., 622 F. App’x 639 (9th Cir. 2015)
• summarily affirmed decision ruling that proposed IEP of student with autism was appropriate,
rejecting procedural challenges based on the goals and the IEP team composition (specifically,
assistant principal who taught one course qualified as regular education teacher member)
(tuition reimbursement case)  [~M]
163.  
P  
• ruled that district’s failure to implement tablet provision in IEP for high school student with autism met the requisite “substantial or significant” implementation standard (compensatory education case)  ~M

164.  
P  
M.S. v. Lake Elsinore Unified Sch. Dist., 66 IDELR ¶ 17 (C.D. Cal. 2015)  
• ruled that the district’s failed to properly assess the behavior of a 13-year-old with autism by not using a variety of assessment tools/strategies and by using a behavior aide to conduct an FBA  ~E/~M

165.  
S  
• ruled that parent’s unreasonable condition of fully observing (i.e., seeing and hearing) the reevaluation amounted to a withdrawal of consent, thus defeating the FAPE claim based on the failure to complete the reevaluation (parent also failed to prove LRE and predetermination claims)  ~E

166.  
P  
• ruled that district denied FAPE for child with autism by failing to sufficiently address his medical needs in his IEP, although rejecting various FAPE procedural claims (e.g., predetermination) and “substantive” claims (e.g., present levels, goals, and sensory needs) (tuition reimbursement case)  ~M

167.  
S  
• ruled that proposed placement in 6:1:1 district class with ABA therapy met substantive standard for FAPE for 7-year-old child with autism (tuition reimbursement case)  ~M

168.  
P  
• ruled that district’s failure to have proposed IEPs for twins with autism completed on timely basis for start of kindergarten was denial of FAPE (tuition reimbursement case)  ~M

169.  
S  
• rejected claim of parents of child with autism that district denied them meaningful opportunity to participate in the IEP process (tuition reimbursement case)  ~M

170.  
S  
A.R. v. Santa Monica Malibu Sch. Dist., 636 F. App’x 385 (9th Cir. 2016)  
• upheld, in brief opinion, that proposed collaborative preschool classroom was FAPE in the LRE for preschool child with autism (tuition reimbursement case)  ~M

171.  
P  
McKay v. Sch. Bd. of Avoyelles Parish, 66 IDELR ¶ 283 (W.D. La. 2015)  
• ruled that district’s failure to implement IEP-specified log for toileting skills of 9-year-old with autism did not amount to denial of FAPE where student made progress in various other specified areas (compensatory education case)  ~M

172.  
S  
B.P. v. N.Y.C. Dep’t of Educ., 634 F. App’x 845 (2d Cir. 2015)  
• ruled that district’s evidence was sufficient to prove that despite its social worker’s misstatement, the proposed placement was able to implement the IEP of the child with autism (tuition reimbursement case)  ~M
   - certified, for class action purposes, class of students with autism who sufficiently alleged
district’s blanket policy of denying them 1:1 instruction, ABA services, and services outside of
the regular school day 32 [M]

174. P T.K. v. N.Y.C. Dep’t of Educ., 810 F.3d 869 (2d Cir. 2016) 33
   - ruled that district’s refusal to discuss bullying upon parents’ reasonable belief that it interfered
with the student’s ability to receive meaningful educational benefits significantly impeded their
right to participate in the development of the IEP, thus constituting a procedural denial of FAPE
   -- “not only potentially impaired the substance of the IEP but also prevented them from
assessing the adequacy of their child’s IEP” (tuition reimbursement case)  [-M]

   - ruled that district’s priority on behavioral interventions for 19-year-old with autism who
exhibited self-injurious and aggressive behaviors met substantive standard for FAPE despite
negligible academic progress (compensatory education case)  [-M]

   - ruled that proposed IEP for student with autism met the substantive standard for FAPE based on
reasonably calculated goals and services for emotional and social, as well as academic, support
(tuition reimbursement case)  [-M]

   - upheld district’s determination that child with previous diagnosis of autism (Asperger disorder)
and subsequent private diagnoses of ADHD, mood disorder, and ED did not qualify under the
IDEA despite behavioral difficulties due to parents’ failure to prove he had resulting need for
special education  [E]

178. P Norristown Area Sch. Dist. v. F.C., 636 F. App’x 857 (3d Cir. 2016)
   - upheld ruling that district’s second-grade IEP and, after unilateral placement, third-grade
proposed IEP for student with autism were both not substantively appropriate due to lack of 1:1
aide (compensatory education and tuition reimbursement case)  [-M]

   - ruled that proposed IEP’s inclusion of expired goals based on DLR/Floortime in private school
for students with autism was not likely to produce progress in proposed placement in public
school (tuition reimbursement case)  [-M]

   - ruled, in this “expanding, but still opaque, subject-matter area,” that parents of child with autism
may prospectively challenge a proposed placement school's capacity to implement an IEP w/o
first enrolling their child in that school and that the district has, and in this case failed to fulfill,
the burden to prove this capacity (tuition reimbursement case)  [-M]

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32 For an earlier, similar class action suit, see L.M.P. v. Sch. Bd., 516 F. Supp. 2d 1294 (S.D. Fla. 2007) (finding
exception to exhaustion doctrine).
33 The Second Circuit did not find it necessary to reach the substantive bullying issue, thus leaving in limbo the district
court’s successive rulings that provided standards for denial of FAPE based on bulling. T.K. v. N.Y.C. Dep’t of Educ., 779 F.
   • rejected parents’ procedural claims of insufficient evaluative materials and lack of opportunity for meaningful participation and upheld substantive appropriateness of proposed placement for student, including lack of ABA methodology (because IEP only mentioned it as one of previous successful methods for the student) (tuition reimbursement case) [M]

182. **S** J.C. v. N.Y.C. Dep’t of Educ., 643 F. App’x 31 (2d Cir. 2016)
   • ruled that procedural violations (lack of parent counseling and FBA-BIP) was not prejudicial and that the proposed IEP met the substantive standard for the child with autism, also rejecting speculative inability of the school to implement the IEP (tuition reimbursement case) [~M]

183. **P** I.B. v. N.Y.C. Dep’t of Educ., 67 IDELR ¶ 113 (S.D.N.Y. 2016)
   • ruled that proposed IEP for student with autism was not substantively appropriate due to his need for individualized services and a twelve-month program (tuition reimbursement case) [~M]

   • ruled that proposed 6:1:1 placement for student was substantively inappropriate due to his need for 1:1 instruction although the various alleged procedural violations were either not required (ABA instruction), not proven (parental participation) or not prejudicial (e.g., lack of FBA-BIP) (tuition reimbursement case) [M]

   • ruled that district’s delays in advancing student with autism on his goals entitled him to 212 hours of compensatory education [~M]

   • rejected procedural challenge (specifically, lack of complete transition plan) as not prejudicial and substantive challenge to capability of the proposed placement of student with autism (e.g., size and noise) as impermissibly speculative based on R.E. (tuition reimbursement case) [~M]

   • ruled that proposed partially mainstreamed placement for student with autism met substantive standard for FAPE (tuition reimbursement case) [~M]

188. **S** T.C. v. N.Y.C. Dep’t of Educ., 67 IDELR ¶ 183 (S.D.N.Y. 2016)
   • rejected various procedural and substantive challenges to district’s proposed IEP for child with autism, including ruling that failure to specify the parents’ chosen methodology did not amount to a denial of FAPE where the parents did not prove that it was necessary for the child to receive benefit (tuition reimbursement case) [M]

189. **S** M.E. v. N.Y.C. Dep’t of Educ., __ F. Supp. 3d __ (S.D.N.Y. 2016)
   • rejected parent’s “prospective” challenge to the proposed placement of her child with autism at either of two district schools was speculative, i.e., not reasonably apparent (tuition reimbursement case) [~M]
• ruled that IEPs for first grader with autism that moved from self-contained to partially mainstreamed placement met the substantive standard for FAPE, with due deference to the IHO and to the LRE presumption  [~M]

• upheld two successive IEPs for sixth grader with autism with regard to implementation and substantive appropriateness, respectively (tuition reimbursement case)  [~M]

192. **P** L.O. v. N.Y.C. Dep’t of Educ., 822 F.3d 295 (2d Cir. 2016)
• ruled that combination of serious procedural violations—failure to consider recent evaluative data, lack of FBAs-BIPs (under state law), insufficient S/L services (under state law for students with autism)—along with more minor procedural violations (e.g., parent counseling/training per same state autism law) amounted to denial of FAPE for three successive IEPs, remanding for compensatory education  [~M]

193. **P** Timothy O. v. Paso Robles Unified Sch. Dist., 822 F.3d 1105 (9th Cir. 2016)
• ruled that failure to evaluate preschool child with SLI for autism was procedural violation that deprived him of critical educational opportunities and substantially impairing his parents’ ability to fully participate in the collaborative IEP process—district’s informal observation does not trump clear notice from IEE and student’s behavior  [~E/~M]

• upheld substantive appropriateness of proposed day class for student with autism, thus declining reimbursement for at-home ABA program  [M]

• ruled that proposed 6:1:1 placement for child with autism was not individualized in terms of the child’s needs and did not address her documented necessity for 1:1 ABA therapy (tuition reimbursement case)  [M]

• upheld, based on snapshot approach, substantive appropriateness of proposed IEP for student with autism (tuition reimbursement case)  [~M]

197. **(P)** F.L. v. N.Y.C. Dep’t of Educ., 67 IDELR ¶ 266 (S.D.N.Y. 2016)
• remanded issue of whether high school student with autism needed 1:1 instruction beyond that the paraprofessional provided (tuition reimbursement case)  [~M]

198. **S** Baquerizo v. Garden Grove Unified Sch. Dist., 826 F.3d 1179 (9th Cir. 2016)
• upheld the substantive appropriateness of the proposed IEP of a high school student with autism in a self-contained class, also rejecting the “laundry list” of procedural violations and the LRE claim of a guardian who had challenged several consecutive prior IEPs (tuition reimbursement case)  [~M]

• ruled that special education class for seven-year old with autism, which provided him with small-group (e.g., DTT social skills) and individual services, met the substantive standard for FAPE, contrary to the parents’ insistence on a 40-hour at-home ABA program  [M]
200.  

J.L. v. Manteca Unified Sch. Dist., 68 IDELR ¶ 17 (E.D. Cal. 2016)

- ruled that consultative, rather than direct, SLT services constituted a denial of FAPE based on its necessity in light of the severity of communication needs of this elementary school child with autism [M]

201.  

Ruhl v. Ohio Dep’t of Health, 68 IDELR ¶ 73 (N.D. Ohio 2016), further proceedings, 69 IDELR ¶ 278 (N.D. Ohio 2017)

- ruled that denial of Part C funding for ABA therapy for IFSP of child with autism did not violate § 504 or the ADA due to failure to show that the reason was the child’s disability and that subsequent delay of six months after the parents qualified was not remediable under the IDEA for money damages [M]

202.  

A.U. v. N.Y.C. Dep’t of Educ., 68 IDELR ¶ 135 (S.D.N.Y. 2016)

- ruled that proposed school was not capable of providing FAPE to child with autism because it did not offer a sufficiently small teacher:aide:student ratio and needed interaction with verbal peers (tuition reimbursement case) [~M]

203.  

T.C. v. N.Y.C. Dep’t of Educ., 68 IDELR ¶ 137 (S.D.N.Y. 2016)

- remanded issue of school’s capability to implement IEP of child with autism who had been in private school that uses DIR/Floortime to determine whether the goals required this particular methodology [M]

204.  


- upheld procedural appropriateness, including lack of preteaching and BCBA; substantive appropriateness, under snapshot rule; and LRE, including potential harm to the child, of IEP for child with autism [~M]

205.  


- ruled that, despite various procedural nonprejudicial challenges, the proposed IEP was not substantively appropriate child with autism, who was in private placement that successive used DIR/Floortime for failing to meet his need for such a relationship-based instructional program or to provide SLT), awarding tuition reimbursement [M]

206.  

NB v. N.Y.C. Dep’t of Educ., 68 IDELR ¶ 228 (S.D.N.Y. 2016)

- ruled that proposed IEP for child with autism and allergies was appropriate in relation to various challenges, including school’s ability to implement DIR Floortime method, distinguishing “FB where the assigned teacher specifically disclaimed any ability to implement DIR-specific goals” (tuition reimbursement case) [M]

207.  

Forest Grove Sch. Dist. v. Student, 655 F. App’x 612 (9th Cir. 2016)

- ruled that district did not deny FAPE to a high school student with autism and ADHD when its IEP team refused to require the parents’ preferred instructional method, which was based on English teacher’s instructional approach [M]

208.  


- upheld substantive appropriateness of proposed IEP and capability of proposed placement for student with autism (tuition reimbursement case) [~M]
209. P A.M. v. N.Y.C. Dep’t of Educ., 845 F. 3d 523 (2d Cir. 2017)
• rejected procedural FAPE challenges (e.g., FBA-BIP and transition services) but ruled in favor of parent of child with autism for substantive FAPE because the proposed IEP’s failure to provide 1:1 ABA therapy was contrary to “a clear consensus” of the evaluative info at the IEP meeting (tuition reimbursement case – remanded for remaining steps) [M]

• upheld limited compensatory award for ABA services upon parent’s appellate challenge, seeking a more extensive award and § 504 relief [-M]

• ruled that the general substantive standard under the IDEA is whether the IEP is “reasonably calculated to enable [the] child to make progress appropriate in light of the child’s circumstances,” remanding for application to this student with autism in a self-contained class (tuition reimbursement case) [-M]

• upheld substantive appropriateness of IEP for student with autism under Endrew F. standard, concluding that goals need not be aligned 1:1 to needs if addressed overall (compensatory education case) [-M]

• upheld substantive appropriateness of IEP for fourth grader with autism and ID, concluding that the evaluation, progress measurement, and ABA-basis met the Endrew F. standard even if not the “gold standard” and 20 hours of 1:1 strict ABA that the parent’s BCBA recommended [M]

• rejected, due to lack of deliberate indifference, § 504 challenge to alleged wrongful denial of ABA services via alleged discriminatory eligibility criteria [M]

• ruled that district did not have reason to suspect autism at the time of the reevaluation [-E]

• ruled that district’s IEP, including provision for ABA services, met its FAPE obligation, concluding that that its various evaluative rejections of autism despite physician’s diagnosis did not violation child find or FAPE [-E/-M]

217. S C.G. v. Waller Indep. Sch. Dist., ___ F. App’x ___ (5th Cir. 2017)
• upheld substantive appropriateness of proposed placement for student with autism under Endrew F. standard, declining reimbursement for ABA therapy [M]

• upheld denial of FAPE for first grader with autism based on LRE and parentally prejudicial procedural violations; hearing officer’s award of compensatory education; and denial of Section 504 claims, including retaliation [-M]
   • ruled, in relevant part, that the written offer of 40 minutes of SLT per week for high school
     student with autism, without specification of individual or group setting was prejudicial
     procedural violation in terms of parental participation that did not impede district’s
     methodological discretion [~M]

   • upheld substantive appropriateness of IEP, including its use of sensory integration, for child with
     multiple disabilities, including autism, in accordance with PRR and Endrew F. [M]
A. Your procedures:

• A.1 Has your district committed procedural violations, especially those that are prejudicial (i.e., amount to a denial of FAPE)?

B. Your program:

• B.1 Is your IEP sufficiently specific to autistic students in general and this student specifically?
• B.2 Does your program/placement include any ABA or Lovaas component?
• B.3 Are the specially designed instruction and related services in the IEP based on peer-reviewed research to the extent practicable?
• B.4 Do the following have sufficient specialized expertise:
  • a) evaluator(s)
  • b) IEP team
  • c) teacher(s) and related service providers

C. Your witnesses:

• C.1 Are your expert witnesses credible and convincing:
  • a) child’s teacher(s)?
  • b) other district personnel?
  • c) outside specialists?

• C.2 Do they have specific data concerning the child’s progress?

D. Other factors:

• D.1 Is your attorney sufficiently specialized in terms of the world of special education?
  What about the parents’ advocate or attorney?

• D.2 If the case is at the judicial stage, did you win at the due process and/or review officer levels, particularly at the highest level in two-tier states?
VI. STATE LAWS

Some states have added requirements, via legislation or regulations (or guidelines, which do not have the force of law) that effectively add to the FAPE foundations established by the IDEA. Here are a few examples:

- **Connecticut**: Effective July 1, 2012, school districts must provide ABA services to any child with ASD if the student’s IEP or 504 plan requires these services. The service provider must be either (A) licensed by the Department of Public Health or certified by the State Department of Education, with such services within the scope of practice of such license or certificate, or (B) certified by the Behavior Analyst Certification Board as a behavior analyst or, if working under the supervision of a certified behavior analyst, an assistant behavior analyst, the child’s teacher, or the child’s paraprofessional.

- **New York**: For students with autism, the various additional specifications for IEP appropriateness include: (a) a maximum age range of 36 months for instructional groups of students under age 16; (b) a special education teacher “with a background in teaching students with autism” when the child is in a placement with students with other disabilities or with regular education students; (c) parent counseling and training for follow-up intervention activities at home; and (d) transitional support services upon a regular education placement or one containing students with other disabilities.

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34 For examples of state laws specific to ASD eligibility for special education services, see supra note 6. Additionally, state laws concerning the coverage of private or employee health insurance can play a significant role. See, e.g., KAN. STAT. ANN., § 40-2, 194 (requiring large group health insurance plans to cover ASD for children below age 12); NEV. REV. STAT. § 287.0276 (requiring school districts that offer employees health insurance via a self-insurance plan to provide coverage for screening, diagnosis, and treatment of ASD for covered children through the end of high school); 40 PA. STAT. § 764h (amendment, called Act 62, requiring specified private health insurers to pay up to $36k for the diagnosis and treatment of covered individuals under age 21 with ASD). Finally, states have a variety of other limited ASD-relevant laws for the K–12 context. See, e.g., ALA. CODE § 22-57-20; FLA. STAT. § 1004.55; IOWA CODE § 265.35 (establishing regional ASD resource and training centers); CAL. EDUC. CODE § 56847; 19 DEL. ADMIN. CODE § 929; 105 ILL. COMP. STAT. 5/2-3.123; IOWA CODE § 356.65A); MISS. CODE ANN. § 37-169-3 (establishing an advisory committee on students with ASD); MASS. GEN. LAWS ch. 38, § G1/2 (establishing certification endorsement for ASD transition specialists); MINN. R. 8710.5850; NEV. ADMIN. CODE § 391.378 (establishing ASD teacher certification); OHIO REV. CODE ANN. §§ 3323.31–3323.34; KY. REV. STAT. ANN. § 164.9813; W. VA. CODE § 18B-11A-3 (establishing state ASD resource or training center); N.J. STAT. ANN. §§ 18A:26-2.8, 18A:26-2.9 (requiring formulation and implementation of recommendations for ASD teacher training); NEV. REV. STAT. §§ 391.260A–391.270 (providing for ASD training for LEA personnel to the extent money is available from the state grant fund); OHIO REV. CODE ANN § 3310.41 (establishing voucher program for students with ASD); see also Emily Workman, State Responses to the Increasing Prevalence of Autism Spectrum Disorders (Nov. 2011), www.ecs.org/html/Document.asp?chouseid=9951.

35 In contrast, the following part of the Pennsylvania regulations’ definition of “autistic support” does not seem to add substantive requirements: “The IEP for [students with autism] must address needs as identified by the team which may include, as appropriate, the verbal and nonverbal communication needs of the child; social interaction skills and proficiencies; the child’s response to sensory experiences and changes in the environment, daily routine and schedules; and, the need for positive behavior supports or behavioral interventions.” 22 PA. CODE § 14.131(a)(1)(1) (emphasis supplied).

36 CONN. GEN. STAT. § 10-76U. This legislation defines ABA as “the design, implementation and evaluation of environmental modifications, using behavioral stimuli and consequences, including the use of direct observation, measurement and functional analysis of the relationship between the environment and behavior, to produce socially significant improvement in human behavior.” Id.

37 N.Y. COMP. CODES R. & REGS. tit. 8, § 200.13
For each child eligible under the classification of autism, the IEP team must “consider, based on peer-reviewed, research-based educational programming practices to the extent practicable and, when needed” the following 11 IEP components (with examples not summarized here):

1. extended day or ESY programming
2. daily schedules reflecting minimal unstructured time and active engagement in learning activities
3. in-home and community-based training or viable alternatives that assist the student with acquisition of social/behavioral skills
4. positive behavior support strategies based on relevant information (e.g., a BIP based on a FBA)
5. futures planning (at any age) for integrated living, work, community, and educational environments that considers skills necessary to function in current and post-secondary environments
6. parent/family training and support, provided by qualified personnel with experience in ASD
7. suitable staff-to-student ratio appropriate to identified activities and as needed to achieve social/behavioral progress based on the child's developmental and learning level (acquisition, fluency, maintenance, generalization) that encourages work towards individual independence
8. communication interventions, including language forms and functions that enhance effective communication across settings
9. social skills supports and strategies based on social skills assessment/curriculum and across settings
10. professional educator/staff support
11. teaching strategies based on peer reviewed, research-based practices for students with ASD

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39 19 TEX. ADMIN. CODE § 89.1055(e) (2014).